

**REQUEST FOR PROPOSALS (RFP)**

**SANDOVAL COUNTY**

**Banking and Depository Services**



**RFP# FY22-SCTO-01**

Release Date: June 5, 2022

Due Date: July 6, 2022 at 3:00 p.m. MDT

NIGP CODES: 94625, 94629, 94649, 96135

# **I. INTRODUCTION**

## **A. PURPOSE OF THIS REQUEST FOR PROPOSALS**

Sandoval County Board of County Commissioners is requesting sealed proposals on behalf of the Sandoval County Treasurer's Office for Banking and Depository Services (NIGP Commodity Codes 94625, 94629, 94649, and 96135). Responses will be accepted in the Finance Department, Attn: Leslie Olivas, Sandoval County Administrative Bldg, 1500 Idalia Road, Bldg. D 2<sup>nd</sup> Floor, P.O. Box 40, Bernalillo, New Mexico up to 3:00 p.m. (Mountain Daylight Time) on July 6, 2022. Late submissions will not be considered. The Sandoval County Board of Commissioners reserves the right to reject any and all responses.

## **B. SANDOVAL COUNTY BACKGROUND**

Sandoval County is a Class A county located in central New Mexico. It covers over 3,700 square miles of both urban and rural areas with a population of approximately 148,800 people according to the 2020 census. Sandoval County has a commission/manager form of government. The Board of County Commissioners consist of five members elected by voters within their respective districts. The County Manager is appointed by the Commission to carry out policy and serve as chief administrative officer. The Sandoval County Treasurer's Office is responsible for County banking services and therefore oversees the daily operations of all County bank accounts. The County Treasurer and the Chairman of the Board of County Commissioners are the only two signors of these accounts.

## **C. SCOPE OF PROCUREMENT**

Sandoval County expects all the items in the Scope of Work to be supported, including by not limited to: courier and lock box services, activities provided by the County's online property tax payment account; and acceptance and processing of ACH and other payment transfers from outside or internal resources for the primary bank. This RFP is for the primary relationship with the County. The County may maintain or obtain banking contracts with other banking providers due to locality or specialized services subject to applicable local, state, and procurement laws.

## **D. TERM OF CONTRACT**

This Request for Proposal is to contract on a yearly basis with the option to renew the contract annually for a maximum of four (4) years upon satisfactory completion of the Scope of Services. The contract may be terminated in writing by either party 90 days prior to the expiration of the initial contract or the current renewal term.

## E. PROCUREMENT MANAGER

1. Sandoval County has assigned a Procurement Manager who is responsible for the conduct of this procurement whose name, address, telephone number and e-mail address are listed below:

Name: Leslie Olivas, Senior Procurement Specialist  
Address: Sandoval County  
1500 Idalia Road Bldg. D 2<sup>nd</sup> Floor  
PO Box 40  
Bernalillo, NM 87004

Telephone: (505) 404-5873  
Fax: (505) 867-7605  
Email: [ldolivas@sandovalcountynm.gov](mailto:ldolivas@sandovalcountynm.gov)

2. All deliveries of responses via express carrier must be addressed as follows. All potential offerors are responsible for ensuring their complete proposal is received on or before the stated deadline, regardless of courier utilized. Late proposals will not be considered for award.

Name: Leslie Olivas, Senior Procurement Specialist  
RFP Name: Banking and Depository Services  
RFP# FY22-SCTO-01  
Address: Sandoval County  
1500 Idalia Road Bldg. D  
PO Box 40  
Bernalillo, NM 87004

3. **Any inquiries or requests** regarding this procurement should be submitted, in writing, to the Procurement Manager. Offerors may **ONLY** contact the Procurement Manager regarding this procurement. Other state employees or Evaluation Committee members do not have the authority to respond on behalf of the County. **Protests of the solicitation or award must be delivered by mail to the Protest Manager.** A Protest Manager has been named in this Request for Proposals, pursuant to NMSA 1978, § 13-1-172, **ONLY** protests delivered directly to the Protest Manager in writing and in a timely fashion will be considered to have been submitted properly and in accordance with statute, rule and this Request for Proposals. Emailed protests will not be considered as properly submitted.

## II. CONDITIONS GOVERNING THE PROCUREMENT

This section of the RFP contains the schedule, description and conditions governing the procurement.

## A. SEQUENCE OF EVENTS

The Procurement Manager will make every effort to adhere to the following schedule:

<b>Action</b>	<b>Responsible Party</b>	<b>Due Dates</b>
1. Issue RFP	Sandoval County	June 5, 2022
2. Pre-Proposal Conference	Sandoval County	NONE
3. Return of Acknowledgement of Receipt Form	Potential Offerors	June 9, 2022
4. Deadline to submit Questions	Potential Offerors	June 16, 2022
5. Response to Written Questions	Procurement Manager	June 23, 2022
<b>6. Submission of Proposal</b>	<b>Potential Offerors</b>	<b>July 6, 2022 AT 3:00 PM MDT.</b>
7. Proposal Evaluation	Evaluation Committee	TBD
8. Selection of Finalists	Evaluation Committee	TBD
9. Contract Awards	Sandoval County	TBD
10. Protest Deadline		+15 days

## B. EXPLANATION OF EVENTS

The following paragraphs describe the activities listed in the sequence of events shown in Section II. A., above.

### 1. Issuance of RFP

This RFP is being issued on behalf of the Sandoval County Public Works Department.

### 2. Acknowledgement of Receipt

Potential Offerors may e-mail, hand deliver, return by facsimile or registered or certified mail the "Acknowledgement of Receipt of Request for Proposals Form" that accompanies this document, APPENDIX A, to have the offeror placed on the procurement distribution list. The form should be signed by an authorized representative of the offeror, dated and returned to the Procurement Manager.

The procurement distribution list will be used for the distribution of written responses to questions.

### 3. Deadline to Submit Written Questions

Potential Offerors may submit written questions to the Procurement Manager as to the intent or clarity of this RFP as indicated in the sequence of events. All written questions must be addressed to the Procurement Manager as declared in Section I, Paragraph E. Questions shall be clearly labeled and shall cite the section(s) in the RFP or other document which form the basis of the question.

### 4. Response to Written Questions

Responses to written questions will be distributed as indicated in the sequence of events to all potential Offerors whose name appears on the procurement distribution list. An e-mail copy will be sent to all Offeror's that provide Acknowledgement of Receipt Forms.

### 5. Submission of Proposals

ALL OFFEROR PROPOSALS MUST BE RECEIVED FOR REVIEW AND EVALUATION BY THE PROCUREMENT MANAGER OR DESIGNEE NO LATER THAN 3:00 PM MOUNTAIN DAYLIGHT TIME (MDT) ON **July 6, 2022. Proposals received after this deadline will not be accepted.** The date and time of receipt will be recorded on each proposal.

Proposals must be addressed and delivered to the Procurement Manager at the address listed in Section I, Paragraph E2. Proposals must be sealed and labeled on the outside of the package to clearly indicate that they are in response to the **Banking and Depository Services** and **RFP# FY22-SCTO-01**. Proposals submitted by facsimile or other electronic means will not be accepted.

A public log will be kept of the names of all Offerors that submitted proposals. Pursuant to NMSA 1978, § 13-1-116, the contents of proposals shall not be disclosed to competing potential Offerors during the negotiation process. The negotiation process is deemed to be in effect until the contract is awarded pursuant to this Request for Proposals.

### 6. Proposal Evaluation

An Evaluation Committee will perform the evaluation of proposals. This process will take place as indicated in the sequence of events, depending upon the number of proposals received. During this time, the Procurement Manager may initiate discussions with Offerors who submit responsive or potentially responsive proposals for the purpose of clarifying aspects of the proposals. However, proposals may be accepted and evaluated without such discussion. Discussions SHALL NOT be initiated by the Offerors.

## **7. Finalize Contractual Agreements**

Any Contractual agreement(s) resulting from this RFP will be finalized with the most advantageous Offeror(s) as per schedule, Sequence of Events or as soon thereafter as possible. This date is subject to change at the discretion of the Sandoval County. In the event mutually agreeable terms cannot be reached with the apparent most advantageous Offeror in the time specified, the County reserves the right to finalize a contractual agreement with the next most advantageous Offeror(s) without undertaking a new procurement process.

## **8. Contract Awards**

The contract shall be awarded to the Offeror (or Offerors) whose proposals are most advantageous to Sandoval County taking into consideration the evaluation factors set forth in this RFP. The most advantageous proposal may or may not have received the most points. The award is subject to appropriate Sandoval County Commission approval.

## **9. Protest Deadline**

Any protest by an Offeror must be timely and in conformance with NMSA 1978, § 13-1-172 and applicable procurement regulations. As a Protest Manager has been named in this Request for Proposals, pursuant to NMSA 1978, § 13-1-172, ONLY protests delivered directly to the Protest Manager in writing and in a timely fashion will be considered to have been submitted properly and in accordance with statute, rule and this Request for Proposals. The 15-calendar day protest period shall begin on the day following the award of contracts and will end at 5:00 pm Mountain Standard Time/Daylight Time on the 15<sup>th</sup> day. Protests must be written and must include the name and address of the protestor and the request for proposal number. It must also contain a statement of the grounds for protest including appropriate supporting exhibits and it must specify the ruling requested from the party listed below. The protest must be delivered to:

Leslie Olivas, Senior Procurement Specialist  
Sandoval County  
1500 Idalia Road Bldg. D 2<sup>nd</sup> Floor  
PO Box 40  
Bernalillo, NM 87004

**Protests received after the deadline will not be accepted.**

## **C. GENERAL REQUIREMENTS**

### **1. Acceptance of Conditions Governing the Procurement**

Potential Offerors must indicate their acceptance of the Conditions Governing the Procurement section in the letter of transmittal (Appendix C). Submission of a proposal constitutes acceptance of the Evaluation Factors.

### **2. Incurring Cost**

Any cost incurred by the potential Offeror in preparation, transmittal, and/or presentation of any proposal or material submitted in response to this RFP shall be borne solely by the Offeror. Any cost incurred by the Offeror for set up and demonstration of the proposed equipment and/or system shall be borne solely by the Offeror.

### **3. Prime Contractor Responsibility**

Any contractual agreement that may result from this RFP shall specify that the prime contractor is solely responsible for fulfillment of all requirements of the contractual agreement which may derive from this RFP.

### **4. Amended Proposals**

An Offeror may submit an amended proposal before the deadline for receipt of proposals. Such amended proposals must be complete replacements for a previously submitted proposal and must be clearly identified as such in the transmittal letter. The County personnel will not merge, collate, or assemble proposal materials.

### **5. Offeror's Rights to Withdraw Proposal**

Offerors will be allowed to withdraw their proposals at any time prior to the deadline for receipt of proposals. The Offeror must submit a written withdrawal request addressed to the Procurement Manager and signed by the Offeror's duly authorized representative.

The approval or denial of withdrawal requests received after the deadline for receipt of the proposals is governed by the applicable procurement regulations.

### **6. Proposal Offer Firm**

Responses to this RFP, including proposal prices for services, will be considered firm for one hundred twenty (120) days after the due date for receipt of proposals or ninety (90) days after the due date for the receipt of a best and final offer, if the Offeror is invited or required to submit one.

## **7. Disclosure of Proposal Contents**

- A. Proposals will be kept confidential until negotiations and the award are completed by the County. At that time, all proposals and documents pertaining to the proposals will be open to the public, except for material that is clearly marked proprietary or confidential. The Procurement Manager will not disclose or make public any pages of a proposal on which the potential Offeror has stamped or imprinted "proprietary" or "confidential" subject to the following requirements:
- B. Proprietary or confidential data shall be readily separable from the proposal in order to facilitate eventual public inspection of the non-confidential portion of the proposal.
- C. Confidential data is restricted to:
  - 1. Confidential financial information concerning the Offeror's organization.
  - 2. and data that qualifies as a trade secret in accordance with the Uniform Trade Secrets Act, NMSA 1978 § 57-3A-1 to 57-3A-7.
  - 3. PLEASE NOTE: The price of products offered or the cost of services proposed **shall not be designated** as proprietary or confidential information.

## **8. No Obligation**

This RFP in no manner obligates Sandoval County to the use of any Offeror's services until a valid written contract is awarded and approved by appropriate authorities.

## **9. Termination**

This RFP may be canceled at any time and any and all proposals may be rejected in whole or in part when the agency determines such action to be in the best interest of Sandoval County.

## **10. Sufficient Appropriation**

Any contract awarded as a result of this RFP process may be terminated if sufficient appropriations or authorizations do not exist. Such terminations will be affected by sending written notice to the contractor. The County's decision as to whether sufficient appropriations and authorizations are available will be accepted by the contractor as final.

## **11. Legal Review**

The County requires that all Offerors agree to be bound by the General Requirements contained in this RFP. Any Offeror's concerns must be promptly submitted in writing to the attention of the Procurement Manager.

## **12. Governing Law**

This RFP and any agreement with an Offeror which may result from this procurement shall be governed by the laws of the State of New Mexico.



### **13. Basis for Proposal**

Only information supplied, in writing, by Sandoval County through the Procurement Manager or in this RFP should be used as the basis for the preparation of Offeror proposals.

### **14. Offeror Qualifications**

The Evaluation Committee may make such investigations as necessary to determine the ability of the potential Offeror to adhere to the requirements specified within this RFP. The Evaluation Committee will reject the proposal of any potential Offeror who is not a Responsible Offeror or fails to submit a responsive offer as defined in NMSA 1978, § 13-1-83 and 13-1-85.

### **15. Right to Waive Minor Irregularities**

The Evaluation Committee reserves the right to waive minor irregularities. The Evaluation Committee also reserves the right to waive mandatory requirements provided that all of the otherwise responsive proposals failed to meet the same mandatory requirements and the failure to do so does not otherwise materially affect the procurement. This right is at the sole discretion of the Evaluation Committee.

### **16. Change in Contractor Representatives**

The County reserves the right to require a change in contractor representatives if the assigned representative(s) is (are) not, in the opinion of the County, adequately meeting the needs of the County.

### **17. Notice of Penalties**

The Procurement Code, NMSA 1978, § 13-1-28 through 13-1-199, imposes civil, misdemeanor and felony criminal penalties for its violation. In addition, the New Mexico criminal statutes impose felony penalties for bribes, gratuities and kickbacks.

### **18. Right to Publish**

Throughout the duration of this procurement process and contract term, Offerors and contractors must secure from the County written approval prior to the release of any information that pertains to the potential work or activities covered by this procurement and/or County contracts deriving from this procurement. Failure to adhere to this requirement may result in disqualification of the Offeror's proposal or removal from the contract.

## **19. Ownership of Proposals**

All documents submitted in response to the RFP shall become property of the Sandoval County.

## **20. Confidentiality**

Any confidential information provided to, or developed by, the contractor in the performance of the contract resulting from this RFP shall be kept confidential and shall not be made available to any individual or organization by the contractor without the prior written approval of the County.

The Contractor(s) agrees to protect the confidentiality of all confidential information and not to publish or disclose such information to any third party without the procuring County's written permission.

## **21. Use of Electronic Versions of this RFP**

This RFP is being made available by electronic means. In the event of conflict between a version of the RFP in the Offeror's possession and the version maintained by the agency, the Offeror acknowledges that the version maintained by the County shall govern.

## **22. New Mexico Employees Health Coverage**

- A. If the Offeror has, or grows to, six (6) or more employees who work, or who are expected to work, an average of at least 20 hours per week over a six (6) month period during the term of the contract, Offeror must agree to have in place, and agree to maintain for the term of the contract, health insurance for those employees if the expected annual value in the aggregate of any and all contracts between Contractor and the State exceed \$250,000 dollars.
- B. Offeror must agree to maintain a record of the number of employees who have (a) accepted health insurance; (b) decline health insurance due to other health insurance coverage already in place; or (c) decline health insurance for other reasons. These records are subject to review and audit by a representative of the state.
- C. Offeror must agree to advise all employees of the availability of State publicly financed health care coverage programs by providing each employee with, as a minimum, the following web site link to additional information <https://bewellnm.com>.
- D. For Indefinite Quantity, Indefinite Delivery contracts (price agreements without specific limitations on quantity and providing for an indeterminate number of orders to be placed against it); these requirements shall apply the first day of the second month after the Offeror reports combined sales (from state and, if applicable, from local public bodies if from a state price agreement) of \$250,000.

### **23. Campaign Contribution Disclosure Form**

Offeror must complete, sign, and return the Campaign Contribution Disclosure Form (Appendix B) as a part of their proposal. Failure to complete and return the signed unaltered form will result in disqualification.

### **24. Letter of Transmittal**

Offeror's proposal must be accompanied by the Letter of Transmittal Form (Appendix C) which must be completed and signed by an individual person authorized to obligate the company. The letter of transmittal MUST:

1. Identify the submitting business entity.
2. Identify the name, title, telephone, and e-mail address of the person authorized by the Offeror organization to contractually obligate the business entity providing the Offer.
3. Identify the name, title, telephone, and e-mail address of the person authorized to negotiate the contract on behalf of the organization (if different than (2) above).
4. Identify the names, titles, telephone, and e-mail addresses of persons to be contacted for clarification/questions regarding proposal content.
5. Sub-contractor will not be authorized for this procurement.
6. Identify the following with a check mark and signature where required:
  - a. indicate acceptance of the Conditions Governing the Procurement indicate acceptance of this RFP; and
  - b. Acknowledge receipt of any and all amendments to this RFP.
7. Be signed by the person identified in #2 above.

### **25. Disclosure Regarding Responsibility**

- A. Any prospective Contractor and any of its Principals who enter into a contract greater than sixty thousand dollars (\$60,000.00) with any state agency or local public body for professional services, tangible personal property, services or construction agrees to disclose whether the Contractor, or any principal of the Contractor's company:
  1. is presently debarred, suspended, proposed for debarment, or declared ineligible for award of contract by any federal entity, state agency or local public body.
  2. has within a three-year period preceding this offer, been convicted in a criminal matter or had a civil judgment rendered against them for:
    - a. the commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract or sub-contract.
    - b. violation of Federal or state antitrust statutes related to the submission of offers; or
    - c. the commission in any federal or state jurisdiction of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violation of Federal criminal tax law, or receiving stolen property.

3. is presently indicted for, or otherwise criminally or civilly charged by any (federal state or local) government entity with the commission of any of the offenses enumerated in paragraph A of this disclosure.
  4. has, preceding this offer, been notified of any delinquent Federal or state taxes in an amount that exceeds \$3,000.00 of which the liability remains unsatisfied. Taxes are considered delinquent if the following criteria apply:
    - a. The tax liability is finally determined. The liability is finally determined if it has been assessed. A liability is not finally determined if there is a pending administrative or judicial challenge. In the case of a judicial challenge of the liability, the liability is not finally determined until all judicial appeal rights have been exhausted.
    - b. The taxpayer is delinquent in making payment. A taxpayer is delinquent if the taxpayer has failed to pay the tax liability when full payment was due and required. A taxpayer is not delinquent in cases where enforced collection action is precluded.
    - c. Have within a three-year period preceding this offer, had one or more contracts terminated for default by any federal or state agency or local public body.)
- B. Principal, for the purpose of this disclosure, means an officer, director, owner, partner, or a person having primary management or supervisory responsibilities within a business entity or related entities.
- C. The Contractor shall provide immediate written notice to the State Purchasing Agent or other party to this Agreement if, at any time during the term of this Agreement, the Contractor learns that the Contractor's disclosure was at any time erroneous or became erroneous by reason of changed circumstances.
- D. A disclosure that any of the items in this requirement exist will not necessarily result in termination of this Agreement. However, the disclosure will be considered in the determination of the Contractor's responsibility and ability to perform under this Agreement. Failure of the Contractor to furnish a disclosure or provide additional information as requested will render the Offeror nonresponsive.
- E. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the disclosure required by this document. The knowledge and information of a Contractor is not required to exceed that which is the normally possessed by a prudent person in the ordinary course of business dealings.
- F. The disclosure requirement provided is a material representation of fact upon which reliance was placed when making an award and is a continuing material representation of the facts during the term of this Agreement. If during the performance of the contract, the Contractor is indicted for or otherwise criminally or civilly charged by any government entity (federal, state or local) with commission of any offenses named in this document the Contractor must provide immediate written notice to the State Contractor

knowingly rendered an erroneous disclosure, in addition to other remedies available to the Government, the State Purchasing Agent or Central Purchasing Officer may terminate the involved contract for cause. Still further the State Purchasing Agent or Central Purchasing Officer may suspend or debar the Contractor from eligibility for future solicitations until such time as the matter is resolved to the satisfaction of the State Purchasing Agent or Central Purchasing Officer.

**26. Use by Other Government Agencies**

By submitting a bid or proposal, the Bidder or offeror indicates that they understand and agree that other local public bodies and state agencies with the State of New Mexico, if allowed by their governing directives, may contact for the goods and services included in this procurement document with the awarded Contractor(s). Contractual engagements accomplished under this provision shall be solely between the awarded Contractor and the contracting government entity with no obligation or liability by Sandoval County.

**27. Electronic Mail Address Required**

A large part of the communication regarding this procurement will be conducted by electronic mail (e-mail). Offeror must have a valid e-mail address to receive this correspondence. **E-mail address will be provided on Appendix A which will be submitted in a timely manner.**

**28. New Mexico Preferences**

To ensure adequate consideration and application of NMSA 1978, § 13-1-21 (as amended). Offerors **MUST** include a copy of their preference certificate with their proposal. Certificates for preferences must be obtained through the New Mexico Department of Taxation & Revenue:

<http://www.tax.newmexico.gov/Businesses/in-state-veteran-preference-certification.aspx>.

- A. **New Mexico Resident Business Preference**  
A copy of the certification must accompany Offeror's proposal.
- B. **New Mexico Native American Resident Business Preference**  
A copy of the certification must accompany Offeror's proposal.
- C. **New Mexico Resident Veterans Business Preference**  
A copy of the certification must accompany Offeror's proposal.
- D. **New Mexico Native American Resident Veterans Business Preference**  
A copy of the certification must accompany Offeror's proposal

**An agency shall not award a business for more than one preference.**

**The New Mexico Preferences shall not apply when the expenditures for this RFP includes federal funds.**

### III. RESPONSE FORMAT AND ORGANIZATION

This section describes the format and organization of the Offeror's responses. Failure to conform to these guidelines may result in the disqualification of the proposal.

#### 1. NUMBER OF RESPONSES

Offerors shall submit only one proposal in response to this RFP.

#### 2. NUMBER OF COPIES

##### A. Hard Copy Responses

Offeror's proposal must be clearly **labeled and numbered and indexed**. Proposals must be submitted as outlined below. The original copy shall be clearly marked as such on the front of the binder. Envelopes, packages or boxes containing the original and the copies must be clearly labeled and submitted in a sealed envelope, package, or box bearing the following information:

Offerors must deliver:

- **Technical Proposals** – One (1) ORIGINAL and three (3) HARD COPIES (4 TOTAL).
- **Cost Proposals** – One (1) ORIGINAL and three (3) HARD COPIES (4 TOTAL).
- **Please include an electronic copy (flash drive) of the Technical and Cost Proposal.**

The original hard copy information **must** be identical. In the event of a conflict between versions of the submitted proposal, the original hard copy shall govern.

Any proposal that does not adhere to these requirements, may be deemed non-responsive and rejected on that basis.

#### 3. PROPOSAL FORMAT

All proposals must be submitted as follows:

Hard copies must be typewritten on standard 8 ½" x 11" paper (larger paper is permissible for charts, spreadsheets, etc.) and placed within binders with tabs delineating each section.

Organization of folders/envelopes for hard copy proposals:

##### 1. TECHNICAL PROPOSAL – Binder 1 - Proposal Content and Organization

Direct reference to pre-prepared or promotional material may be used if referenced and clearly marked. The proposal **must be organized and indexed** in the following format and must contain, at a minimum, all listed items in the sequence indicated. Promotional material should be **minimal**.

- A. Signed Letter of Transmittal (Appendix C)
- B. Table of Contents
- C. Proposal Summary (Optional)
- D. Response to Contract Terms and Conditions
- E. Offeror's Additional Terms and Conditions
- F. Response to Specifications
  - 1. Organizational Experience
  - 2. Organizational References
  - 3. Mandatory Specification
  - 4. Conflict of Interest Affidavit (Appendix E)
  - 5. Signed Campaign Contribution Form (Appendix B)
  - 6. New Mexico Preferences (if applicable)
  - 7. Financial Stability
  - 8. Proof of Insurance and/or Surety Bonds
  - 9. Other Supporting Material (if applicable)

**2. COST PROPOSAL – Binder 2 MUST BE IN A SEPARATE SEALED ENVELOPE**

- A. The Cost Response Form (Appendix F) must be filled out in its entirety and included in Binder 2.
- B. All costs to be incurred and billed to the county shall be described in detail. The Offeror should understand that the costs of insurance maintained by the organization in connection with the general conduct of its operation are allowable provided: that the types and content of coverage is in accordance with sound business practice and the rates and premiums are reasonable under the circumstances. Liability for that item remains with the Offeror. The proposed price quoted must include all applicable costs and applicable taxes.
- C. Offeror should submit a proposal with a detailed schedule of total costs per task. Offeror should also provide unit cost estimated (hourly, etc.) and time schedule for each task with in each task. The Offeror shall quote hourly rates for additional consulting beyond the scope of the described tasks.

Within each section of the proposal, Offerors should address the items in the order indicated above. All forms provided in this RFP must be thoroughly completed and included in the appropriate section of the proposal.

The proposal summary may be included by potential Offerors to provide the Evaluation Committee with an overview of the proposal; however, this material will not be used in the evaluation process unless specifically referenced from other portions of the Offeror's proposal.

## IV. SPECIFICATIONS

Offerors should respond in the form of a thorough narrative to each specification, unless otherwise instructed. The narratives, including required supporting materials, will be evaluated and awarded points accordingly.

### A. DETAILED SCOPE OF WORK

The following specifications are to be used as guidelines in submitting proposals and shall be made a part of the contract between Sandoval County and the successful Offeror.

1. **Services shall include, but are not limited to the following:**

- a. Provide banking and depository services whereby money deposited by Sandoval County is automatically “swept” into an interest-bearing account on a daily basis. All interest rates shall meet or exceed rates set for in NMSA 6-10-36 (E), attached hereto as Attachment C. The County retains the option of leaving funds in the bank whenever bank rates exceed market or fund rates.
- b. Sandoval County Investment Policy requires collateralization of no less than what is required in accordance with state statutes 6-10-10 through 6-10-18 NMSA on all deposits in excess of the Federal Depository Insurance Corporation (FDIC) insurance or the National Credit Union Administration (NCUA) coverage and reserves the right to set the level of collateral. Sandoval County currently requires a minimum of 75% with the option of up to 103%. This percentage may change in accordance with the provisions to the Investment Policy  
  
Collateralization must consist of only those investments approved by Sandoval County’s Investment Policy. The Offeror may submit a Letter of Credit from Federal Home Loan Bank as collateral.
- c. Collateral shall be held in third-party safekeeping by an institution acceptable to the County. The safekeeping institutional shall issue a safekeeping receipt or other evidence to the County listing the specific instrument, rate, amount, maturity date, instrument number (CUSIP), term and other pertinent information requested by the Treasurer.
- d. Collateral statements shall be provided at least once per month, at months end, or as frequently as required to document sweep activity. Yearly statements shall include a valuation of securities pledged to secure County deposits. In compliance with 6-10-16 NMSA 1978, all securities shall be accepted as security at market value. Month end statements will also confirm instrument, rate, amount, maturity, instrument number, term and other pertinent information.
- e. Offeror shall support activities provided by the County’s online property tax payment at <http://www.sandovalcountynm.gov> and acceptance and also processing of ACH and other payment transfers from outside sources.



- f. Provide lockbox services during the first half of the County’s property tax collection period. Depending on calendar dates, this period lasts from approximately November 1<sup>st</sup> – January 25<sup>th</sup> of each year with the option of second half from April 1<sup>st</sup> – May 15<sup>th</sup>. Offeror will be expected to provide automated payment processing, with check digit verification, and payment imaging capabilities. Furthermore, the Offeror is expected to provide these services “in-house”, and is strongly discourage from contracting this activity to a third party. Offeror shall provide electronic images of payments processed through lockbox. Payments processed through lockbox shall have a high level of accuracy. Coupons processed by lockbox should be tested every year prior to first half tax season, to find and eliminate any issues.
- g. Provided to the County Manager, Treasurer and Finance Department online, real time internet banking capabilities to include the following:
  - i. User maintenance & administration, including password reset.
  - ii. Account summaries and transaction reporting and analysis.
  - iii. Domestic wire, book transfer, and stop payment capabilities.
  - iv. ACH initiation and receiving, include bi-weekly payroll initiation of employee “direct deposits”.
  - v. Federal Tax Payments.
  - vi. Positive pay monitoring and reconciliation.
  - vii. Fraud alerts for ACH.
  - viii. The Offeror is also expected to provide online transmitted month-end bank statements, including monthly statements for all accounts. In addition, the County will be provided online check image retrieval at no cost. Image retrieval capabilities must include front and back imaging for all checks.
  - ix. Electronic ACH and wire payments for both the Treasurer’s Office and the Finance Department.
  - x. Options and fee scale for “Stop Payments” of checks.
- h. The Offeror, regardless of the payment basis used by the County, will provide a complete account analysis. The analysis will be prepared at month’s end, and submitted to the County Treasurer, Finance Director, and County Manager by the 10<sup>th</sup> working day of the following month. All County accounts maintained with the Offeror are usable in calculating compensation for analysis purposes (i.e. combined analysis).
- i. Safe deposit services for off-site storage of County’s microfilm tax roll archives at nearest available branch or facility.
- j. Receive and count all cash delivered to the bank, and provide coins and currency as necessary.
- k. Provide requested amounts of stationary items to conduct County business including, but not limited to, tamper proof bags, bank lock bags, deposit slips, check stock, endorsement stamps, etc.

- l. Offeror shall be available to meet, communicate, and/or provide assistance to the Sandoval County Board of Commissioners, Board of Finance, Treasurer, Finance Director, and County Manager or their designee when necessary. Offeror shall provide a direct point of contact to the Treasurer's Office.
- m. Cooperate with all verification and reporting requirements for the County's annual audits. Online reports should be made readily available to Sandoval County.
- n. This RFP also requires the maintenance of the Tax Payment account which receives all property tax payments for Sandoval County. The current tax maintenance report (Attachment C) of the ten-years charged for the Treasurer to collect is +\$1.2 billion.

## **2. Deposit Services**

- a. The Offeror will receive and immediately credit the County account with incoming ACH and wire transfers. The offeror will also provide daily email notification of all wires and ACH amounts received. Same day ACH or wire fees shall be waived in emergency circumstances.
- b. Offeror will provide daily, armed courier services to carry the County's deposits to the appropriate branch or processing center no earlier than 3:30 p.m. and no later than 4:30 p.m. The County expects one deposit pick up per day except weekends and County holidays with the option to expand services of pick up at other County locations.
- c. The Offeror will provide individual teller check scanners that will capture and offer E-Deposit capabilities for each employee per Treasurer's request.
- d. The Offeror will accept all items delivered for deposit to the County's account on the day received. All items shall be credited to the account on a collected status no later than the Federal Reserve schedule of credit availability as indicated by the most current operating circular.
- e. The Offeror shall credit the County's account with the amounts shown on deposit tickets and make separate adjusting entries if the deposited items vary. The Offeror shall disclose to the Treasurer and Finance Director, in writing, of any overage or shortage, to include identification of adjustment within 48 hours.
- f. The Offeror shall automatically forward all NSF checks as directed by the Sandoval County Treasurer. All other return items not subject to redeposit shall be posted to the appropriate account and returned to the Treasurer. These transactions shall be clearly designated on the appropriate statements.
- g. The Offeror shall provide night drop deposit or comparable after-hours services for County Deposits.

### 3. Fees

- a. The County reserves the right to utilize a fee or compensating balance basis (or a combination thereof) for payment services under the contract. The County also reserves the right to change the payment methodology during the contract period upon no less than ten (10) days written notice (via email) to the Offeror with the change commencing on the first of the next following month.
- b. Offeror shall provide a fee schedule that would apply to any banking service applicable, and provide maximum annual compensation.
- c. Sandoval County will not be charged for any un-received checks or returned checks (NSF's).
- d. Offeror shall list the expenses that are covered in the fee structure and meet with the Treasurer regarding any concerns with fees or analysis.
- e. Offeror shall provide a copy of **all** agreements to the County Manager (even if not directly referenced in the RFP), which will be required to be executed under the contract. Any changes required on the agreements will be made and agreed upon before the award of the contract by the County Manager and Treasurer.
- f. The County expects that review of accounts via online banking should be provided at no additional cost. Access must be provided to account information and month end statements digitally to the County Manager, Treasurer, and Finance Director.

## B. TECHNICAL SPECIFICATIONS

### 1. Organizational Experience:

- a. Offeror shall provide a description of all relevant experience as required in the scope of work with state, county, or municipal government and/or in the private sector. The narrative **must** thoroughly describe how the Offeror has supplied experience, expertise and knowledge as a company who is capable of meeting all the requirements mentioned in this RFP.

### 2. Organizational References

Offerors must provide a minimum of three (3) references from similar projects performed for state or local government clients within the last three (3) years.

The Evaluation Committee may contact any or all references for validation of information submitted. If this step is taken, the Procurement Manager and the Evaluation Committee must

all be together on a conference call with the submitted reference so that the Procurement Manager and all members of the Evaluation Committee receive the same information. Additionally, the County reserves the right to consider any and all information available to it.

Offerors shall submit the following Business Reference information as part of the Offer:

- a. Client name;
- b. Project description;
- c. Project dates (starting and ending);
- d. Technical environment (i.e. similar background experience performed); and
- e. Client project manager name, telephone number, fax number and email address.

Offeror is required to submit APPENDIX D, Organizational Reference Questionnaire (“Questionnaire”), to the business references it lists. **The business references must submit the Questionnaire directly to the designee identified in APPENDIX D. The business references must not return the completed Questionnaire to the Offeror. It is the Offeror’s responsibility to ensure the completed forms are submitted on or before the date indicated in the Sequence of Events for inclusion in the evaluation process.**

### 3. Mandatory Specifications

- a. Provide detailed confirmation of the ability to provide full lock box and courier services. Availability to provide these services is non-negotiable for our primary County bank.
- b. Provide a detailed narrative of your qualifications and your proposed approach to quality assurance, quality improvement, continuing education and the rationale approach underlying that approach.
- c. Name the leadership of your organization and cite accomplishments of individuals who will provide direct oversight of services for this contract. Provide resume of each of those individuals with the years of experience and training. Please designate the single point(s) of contact that will work directly with the County.
- d. Provide examples of receipts, statements, analysis, spreadsheets and other relevant reporting documents that will be used for all services with Sandoval County.
- e. Provide a time table of how long it will take to implement each service and also provide us with how work tickets are resolved and the time frame in which they are resolved.

- f. Describe your knowledge and experience in writing how and when you maintain and update your system. Describe the process and procedures for updating and maintaining systems that directly affect customer access and availability.
- g. Provide a brief history and description of the Company, including a copy of the most recent annual report, the size of the business, number of employees, annualized dollars of payroll and number of years in existence. Include experience and time in Sandoval County, branches or other facilities within the County, employees in the County, etc.

## **4. Business Specifications**

### **Financial Stability**

Offeror's must submit copies of the most recent years independently audited financial statements and the most current 10k, as well as financial statements for the proceeding three years if they exist. The submission must include the audit opinion, the balance sheet, statements of income, retained earnings, cash flows and the notes to the financial statements. If independently audited financial statements do not exist, Offeror must state the reason, and instead submit sufficient information (e.g., D & B report) to enable the Evaluation Committee to assess the financial stability of the Offeror.

### **Insurance**

**ALL RESPONDENTS MUST** submit with their proposal, proof of insurance for Professional Liability in the amount of \$1,000,000. Proof shall be by submission of copies of current policies or current Certificates of Insurance, including the effective dates of coverage. Sandoval County requires the following provisions awarded by vendor:

- Sandoval County to be named as additional insured or an insured on all required policies.
- Sandoval County shall be provided with an unconditional thirty (30) days advance written notice of cancellation or material change (i.e. no limit on the notice of cancellation) on all policies.
- Prior to the execution of the resulting contract, the Sandoval County Purchasing Office shall be supplied with an original certificate of insurance evidencing the stated requirements. This insurance shall be effective for the contract duration and renewal certificates shall also be supplied upon expiration.

## V. EVALUATION

### A. EVALUATION POINT SUMMARY

The following is a summary of evaluation factors with point values assigned to each. These weighted factors will be used in the evaluation of individual potential Offeror proposals by sub-category.

<b>Factors</b>	<b>Points Available</b>
Organizational Experience	30 points
Organizational References	10 points
Mandatory Specifications	40 points
Location and Availability	10 points
<b>POSSIBLE TOTAL COST POINTS</b>	<b>10 points</b>
Lowest Responsive offer Cost ----- X Available Award Points This Offeror's Cost	
<b>GRAND TOTAL POSSIBLE POINTS</b>	<b>100 POINTS</b>
Letter of Transmittal	Pass/Fail
Signed Campaign Contribution Disclosure Form	Pass/Fail
Conflict of Interest Affidavit	Pass/Fail
Financial Stability	Pass/Fail
Proof of Insurance	Pass/Fail
<b>New Mexico Preferences:</b>	
Resident Business Vendor Points	
Native American Resident Business Points	
Resident Veteran Points	
Native American Resident Business Points	

Table 1: Evaluation Point Summary

### B. EVALUATION FACTORS

Points will be awarded based on the thoroughness and clarity of the response of the engagements cited and the perceived validity of the response.

#### a. Organizational Experience

Points will be awarded based on the thoroughness and clarity of Offeror’s response in this Section. The Evaluation Committee will also weigh the relevancy and extent of Offeror’s experience, expertise and knowledge; and of personnel education, experience and certifications/licenses. In addition, points will be awarded based on Offeror’s candid and well-thought-out response to successes and failures, as well as the ability of the Offeror to learn from its failures and grow from its successes.

**b. Organizational References**

Points will be awarded based upon an evaluation of the responses to a series of questions on the Organizational Reference Questionnaire (Appendix D). Offeror will be evaluated on references that show positive service history, successful execution of services and evidence of satisfaction by each reference. References indicating significantly similar services/scopes of work and comments provided by a submitted reference will add weight and value to a recommendation during the evaluation process. Points will be awarded for each individual response up to 1/3 of the total points for this category. Lack of a response will receive zero (0) points.

The Evaluation Committee may contact any or all business references for validation of information submitted. If this step is taken, the Procurement Manager and the Evaluation Committee must all be together on a conference call with the submitted reference so that the Procurement Manager and all members of the Evaluation Committee receive the same information. Additionally, the Agency reserves the right to consider any and all information available to it.

**c. Mandatory Specifications**

Points will be awarded based on the thoroughness and clarity of Offeror’s response in this section.

**d. Cost**

**Lowest Responsive Offeror’s Cost**

----- **X Available Points**

**Each Offeror’s Cost**

**e. Pass/Fail Section: A “fail” for any item(s) below will result in a non-responsive proposal and the proposal will *not* be evaluated.**

- **Letter of Transmittal**
- **Signed Campaign Contribution Disclosure Form**
- **Conflict of Interest Affidavit Form**
- **Proof of Financial Stability**
- **Proof of Insurance**

1. **New Mexico Preferences**

Percentages will be determined based upon the point-based system outlined in NMSA 1978, § 13-1-21 (as amended).

**A. New Mexico Resident Business Preference**

If the Offeror has provided their Preference Certificate the Preference Points for a New Mexico Business is 8% of the total points available in this RFP.

**B. New Mexico Native American Resident Business Preference**

If the Offeror has provided their Preference Certificate the Preference Points for a New Mexico Resident Native American Owned Business Preference is 8% of the total points available in this RFP.

**C. New Mexico Resident Veterans Business Preference**

If the Offeror has provided a copy of their Preference Certificate the Preference Points for a New Mexico Resident Veteran Business is 10% of the total points available in this RFP.

**D. New Mexico Native American Resident Veterans Business Preference**

If the Offeror has provided a copy of their Preference Certificate the Preference Points for a New Mexico Resident Veteran Business is 10% of the total points available in this RFP.

**2. Interview**

If an interview is held, the Purchasing Manager will distribute questions and instructions to the finalists prior to the scheduled interview. A maximum of 100 points are possible in scoring each interview for this RFP. The Evaluation Committee may, at their discretion, request additional clarification as to the contents of the RFP submittal from any of the Offeror's.

**EVALUATION PROCESS**

1. All Offeror proposals will be reviewed for compliance with the requirements and specifications stated within the RFP. Proposals deemed non-responsive will be eliminated from further consideration.
2. The Procurement Manager may contact the Offeror for clarification of the response.
3. The Evaluation Committee may use other sources of to perform the evaluation.
4. Responsive proposals will be evaluated on the factors set forth in the RFP, which have been assigned a point value. The responsible Offerors with the highest scores will be selected as finalist Offerors, based upon the proposals submitted. The responsible Offerors whose proposals are most advantageous to the County taking into consideration the evaluation



factors will be recommended for award. Please note, however, that a serious deficiency in the response to any one factor may be grounds for rejection regardless of overall score.

- **APPENDICES-A through F must be completed and submitted**

## **APPENDIX A**

### **ACKNOWLEDGEMENT OF RECEIPT FORM**

# APPENDIX A

## REQUEST FOR PROPOSAL

Banking and Depository Services  
RFP# FY22-SCTO-01

### ACKNOWLEDGEMENT OF RECEIPT FORM

In acknowledgement of receipt of this Request for Proposal the undersigned agrees that s/he has received a complete copy, beginning with the title page and table of contents, and ending with APPENDIX F.

The acknowledgement of receipt should be signed and returned to the Procurement Manager no later than **June 9, 2022** by 5:00 pm (Mountain Daylight Time). Only potential Offerors who elect to return this form completed with the indicated intention of submitting a proposal will receive copies of all Offeror written questions and the written responses to those questions as well as RFP amendments, if any are issued.

FIRM: \_\_\_\_\_

REPRESENTED BY: \_\_\_\_\_

TITLE: \_\_\_\_\_ PHONE NO.: \_\_\_\_\_

E-MAIL: \_\_\_\_\_ FAX NO.: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

This name and address will be used for all correspondence related to the Request for Proposal.

Firm does/does not (circle one) intend to respond to this Request for Proposal.

Leslie Olivas, Senior Procurement Specialist  
Sandoval County  
1500 Idalia Road Bldg. D, PO Box 40  
Bernalillo, NM 87004  
Fax: 505-404-5873  
E-mail: [ldolivas@sandovalcountynm.gov](mailto:ldolivas@sandovalcountynm.gov)

**APPENDIX B**

**CAMPAIGN CONTRIBUTION DISCLOSURE FORM**

## **Campaign Contribution Disclosure Form**

Pursuant to NMSA 1978, § 13-1-191.1 (2006), any person seeking to enter into a contract with any state agency or local public body for professional services, a design and build project delivery system, or the design and installation of measures the primary purpose of which is to conserve natural resources must file this form with that state agency or local public body. This form must be filed even if the contract qualifies as a small purchase or a sole source contract. The prospective contractor must disclose whether they, a family member or a representative of the prospective contractor has made a campaign contribution to an applicable public official of the state or a local public body during the two years prior to the date on which the contractor submits a proposal or, in the case of a sole source or small purchase contract, the two years prior to the date the contractor signs the contract, if the aggregate total of contributions given by the prospective contractor, a family member or a representative of the prospective contractor to the public official exceeds one hundred dollars (\$100) over the two year period.

Furthermore, the state agency or local public body shall void an executed contract or cancel a solicitation or proposed award for a proposed contract if: 1) a prospective contractor, a family member of the prospective contractor, or a representative of the prospective contractor gives a campaign contribution or other thing of value to an applicable public official or the applicable public official's employees during the pendency of the procurement process or 2) a prospective contractor fails to submit a fully completed disclosure statement pursuant to the law.

**THIS FORM MUST BE FILED BY ANY PROSPECTIVE CONTRACTOR WHETHER OR NOT THEY, THEIR FAMILY MEMBER, OR THEIR REPRESENTATIVE HAS MADE ANY CONTRIBUTIONS SUBJECT TO DISCLOSURE.**

The following definitions apply:

“Applicable public official” means a person elected to an office or a person appointed to complete a term of an elected office, who has the authority to award or influence the award of the contract for which the prospective contractor is submitting a competitive sealed proposal or who has the authority to negotiate a sole source or small purchase contract that may be awarded without submission of a sealed competitive proposal.

“Campaign Contribution” means a gift, subscription, loan, advance or deposit of money or other thing of value, including the estimated value of an in-kind contribution, that is made to or received by an applicable public official or any person authorized to raise, collect or expend contributions on that official's behalf for the purpose of electing the official to either statewide or local office. “Campaign Contribution” includes the payment of a debt incurred in an election campaign, but does not include the value of services provided without compensation or unreimbursed travel or other personal expenses of individuals who volunteer a portion or all of their time on behalf of a candidate or political committee, nor does it include the administrative or solicitation expenses of a political committee that are paid by an organization that sponsors the committee.

“Family member” means spouse, father, mother, child, father-in-law, mother-in-law,

daughter-in-law or son-in-law.

“Pendency of the procurement process” means the time period commencing with the public notice of the request for proposals and ending with the award of the contract or the cancellation of the request for proposals.

“Person” means any corporation, partnership, individual, joint venture, association or any other private legal entity.

“Prospective contractor” means a person who is subject to the competitive sealed proposal process set forth in the Procurement Code or is not required to submit a competitive sealed proposal because that person qualifies for a sole source or a small purchase contract.

“Representative of a prospective contractor” means an officer or director of a corporation, a member or manager of a limited liability corporation, a partner of a partnership or a trustee of a trust of the prospective contractor.

**DISCLOSURE OF CONTRIBUTIONS:**

Contribution Made By: \_\_\_\_\_

Relation to Prospective Contractor: \_\_\_\_\_

Name of Applicable Public Official: \_\_\_\_\_

Date Contribution(s) Made: \_\_\_\_\_

\_\_\_\_\_

Amount(s) of Contribution(s) \_\_\_\_\_

\_\_\_\_\_

Nature of Contribution(s) \_\_\_\_\_

\_\_\_\_\_

Purpose of Contribution(s) \_\_\_\_\_

\_\_\_\_\_

(Attach extra pages if necessary)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title (position)

—OR—

NO CONTRIBUTIONS IN THE AGGREGATE TOTAL OVER ONE HUNDRED DOLLARS (\$100) WERE MADE to an applicable public official by me, a family member or representative.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title (Position)

**SANDOVAL COUNTY'S CURRENT ELECTED OFFICIALS**

Katherine A. Bruch, Commission District 1

Jay C. Block, Commission District 2

Michael Meek, Commission District 3

David J. Heil, Commission District 4

F. Kenneth Eichenwald, Commission District 5

Linda P. Gallegos, Assessor

Anne Brady-Romero, Clerk

Charles J. Aguilar, Probate Judge

Jesse James Casaus, Sheriff

Jennifer Taylor, Treasurer

## **APPENDIX C**

### **LETTER OF TRANSMITTAL FORM**



**APPENDIX C**  
**Letter of Transmittal Form**

**RFP#:** \_\_\_\_\_  
**Offeror Name:** \_\_\_\_\_ **FED ID#** \_\_\_\_\_

Items #1 to #7 EACH MUST BE COMPLETED IN FULL

1. **Identity (Name) and Mailing Address** of the submitting organization:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2. For the person authorized by the organization to contractually obligate on behalf of this Offer:

Name \_\_\_\_\_

Title \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Telephone Number \_\_\_\_\_

3. For the person authorized by the organization to negotiate on behalf of this Offer:

Name \_\_\_\_\_

Title \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Telephone Number \_\_\_\_\_

4. For the person authorized by the organization to clarify/respond to queries regarding this Offer:

Name \_\_\_\_\_

Title \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Telephone Number \_\_\_\_\_

5. Use of Sub-Contractors (Select one)

\_\_\_ No sub-contractors will be used in the performance of any resultant contract OR

\_\_\_ The following sub-contractors will be used in the performance of any resultant contract:

\_\_\_\_\_  
(Attach extra sheets, as needed)

6. Please describe any relationship with any entity (other than Subcontractors listed in (5) above) which will be used in the performance of any resultant contract.

\_\_\_\_\_  
(Attach extra sheets, as needed)

7. \_\_\_ On behalf of the submitting organization named in item #1, above, I accept the Conditions Governing the Procurement

\_\_\_ I concur that submission of our proposal constitutes acceptance of the Evaluation Factors contained in Section V of this RFP.

\_\_\_ I acknowledge receipt of any and all amendments to this RFP.

\_\_\_\_\_, 2022  
Authorized Signature and Date (Must be signed by the person identified in item #2, above.)

**APPENDIX D**  
**ORGANIZATIONAL REFERENCE QUESTIONNAIRE**

**ORGANIZATIONAL REFERENCE QUESTIONNAIRE  
FOR:**

\_\_\_\_\_  
(Name of the company you're submitting reference for)

This form is being submitted to your company for completion as a business reference for the company listed above. This form is to be returned for **RFP# FY22-SCTO-01 Banking and Depository Services** via **facsimile** or **e-mail** at:

Name: Leslie Olivas, Senior Procurement Specialist  
Sandoval County Finance Department  
Address: 1500 Idalia Road, Building D  
Bernalillo, NM 87004  
  
Telephone: 505-404-5873  
Fax: 505-867-7605  
Email: ldolivas@sandovalcountynm.gov

Please return no later than July 6, 2022 @ 3:00 p.m. Mountain Daylight Time and **must not** be returned to the individual/company requesting the reference. Late submissions will not be considered.

Please enter **detailed** answers in the “comments” section of each question.

For questions or concerns regarding this form, please contact the Sandoval County Procurement Officer listed above. When contacting us, please be sure to include the Request for Proposal number listed at the top of this page.

**CONFIDENTIAL INFORMATION WHEN COMPLETED**

<b>Company providing reference:</b>	
<b>Contact name and title/position</b>	
<b>Contact telephone number</b>	
<b>Contact e-mail address</b>	

QUESTIONS:

1. In what capacity have you worked with this vendor in the past?

COMMENTS:

2. How would you rate this firm's knowledge and expertise?

\_\_\_\_ (3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

3. How would you rate the vendor's flexibility relative to changes in the project scope and timelines?

\_\_\_\_ (3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

4. What is your level of satisfaction with hard-copy materials produced by the vendor?

\_\_\_\_ (3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

5. How would you rate the dynamics/interaction between the vendor and your staff?

\_\_\_\_ (3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

6. Who were the vendor's principal representatives involved in your project and how would you rate them individually? Would you comment on the skills, knowledge, behaviors or other factors on which you based the rating?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

Name: \_\_\_\_\_ Rating:

Name: \_\_\_\_\_ Rating:

Name: \_\_\_\_\_ Rating:

Name: \_\_\_\_\_ Rating:

COMMENTS:

7. How satisfied are you with the products developed by the vendor?  
\_\_\_\_\_ (3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

8. With which aspect(s) of this vendor's services are you most satisfied?

COMMENTS:

9. With which aspect(s) of this vendor's services are you least satisfied?

COMMENTS:

10. Would you recommend this vendor's services to your organization again?

COMMENTS:

APPENDIX E  
CONFLICT OF INTEREST AFFIDAVIT FORM

**APPENDIX E**

**CONFLICT OF INTEREST AFFIDAVIT**

STATE OF NEW MEXICO)  
 ) ss.  
COUNTY OF SANDOVAL)

I, \_\_\_\_\_ (name), being first duly sworn upon my oath, depose and state the following:

I am a former employee of \_\_\_\_\_ (name of Department/Agency), having separated/retired from state employment as of \_\_\_\_\_ (date).

I am a current employee of \_\_\_\_\_ (name of Department/Agency), or a legislator with the state, or the family member (spouse, parent, child, sibling by consanguinity or affinity) of a current employee or legislator with the state. Being a current employee or legislator or family member of a current employee or legislator of the state, I hereby certify that I obtained this Agreement pursuant to Sections 10-16-7 or 10-16-9 NMSA 1978, that is, in accordance with the Procurement Code except that this Agreement has NOT been awarded via the sole source or small purchase procurement methods.

The Department/Agency and I have entered into an agreement in the amount of \$\_\_\_\_\_.

Section 10-16-8.A(1) NMSA 1978 of the Governmental Conduct Act does not apply to this Agreement because I neither sought a contract with the Department/Agency, nor engaged in any official act which directly resulted in the formation of the Professional Services Agreement while an employee of the Department/Agency.

To the best of my knowledge, this Agreement was awarded in compliance with all relevant provisions of the New Mexico Procurement Code (13-1-28, et. seq., NMSA 1978).

FURTHER, AFFIANT SAYETH NOT.

\_\_\_\_\_  
NAME

Subscribed and sworn to before me by \_\_\_\_\_ (name of former employee) this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
NOTARY PUBLIC

My Commission Expires:

\_\_\_\_\_

Terms of the Conflict of Interest Affidavit are inapplicable.

**APPENDIX F**  
**COST RESPONSE FORM**  
**Attachment A, B, C**



<b>ATTACHMENT A</b>			
<b>Monthly Compensation Schedule</b>	<b>Scenario Units</b>	<b>Unit Cost</b>	<b>Total Cost</b>
<b>1. Account Maintenance Fee(s) - monthly</b>	16 accounts		
<b>2. Transaction Items</b>			
a. Check/Debit Fee	1,100 items		
b. Deposit/Credit Fee	600 items		
c. Other Credits Processed			
On-us Deposited Items	550 items		
Local/Other Deposited Items	29,000 items		
Non-Local (Foreign Item) Fee	5 items		
d. Returned Deposit Item/Chargeback Items (Reprocessing)	40 items		
e. Cash Processing - Currency per \$100	3,500		
f. Coin/Rolled Coin	10 rolls		
g. Stop Payment	5 items		
<b>3. ACH Items</b>			
a. ACH Maintenance and/or Access Fee	6 accounts		
b. ACH Origination per item	1250 items		
c. ACH Credit Fee	300 items		
d. ACH Debit Fee	75 items		
e. ACH EDI Addenda Service Fee	3 account		
f. ACH Fraud Filter/Block (per account)	6 accounts		

g. ACH returns	5 items		
<b>4. Domestic Wire Transactions</b>			
a. Wire Maintenance and/or Access Fees	6 accounts		
b. Wires Originated Fee	5 wires		
c. Wires Received Fee	5 wires		
d. International Wires Received	1 wire		
<b>5. Internet Banking Account Maintenance</b>			
a. Account Maintenance and/or Access Fees	16 accounts		
<b>6. Other Services</b>			
a. Armed Courier Services	20 days		
b. Cashier's Checks	1 cashiers check		
e. Repo/Investment Sweep	2 accounts		
f. Target Balance Account Fees	2 accounts		
g. Positive Pay Access and Maintenance Fees	5 accounts		
h. Stop Payment Service Fees	5 items		
i. Bi-weekly Statements	2 accounts		
j. Statement CD - monthly	0 CD		
k. Safe Deposit Boxes	5 boxes		
(5 - 10" x 10" boxes)			
<b>7. Lockbox Processing</b>			
a. Matched item processing	43,000 items		

b. Image CD (check matched with payment coupon)	20 CD's			
<b>8. Supplies</b>				
a. Check Orders	1 Box = 2,000 checks			
b. Deposit Slips/Books (bound)	1 Box = 20 Bound Books			
c. Tamper Proof Deposit Bags	1 Box = 500 Bags			
d. Endorsement Stamps	1 Box = 10 Stamps			
e. Currency Straps/Rolled Coin Wraps/etc.	As needed			
<b>Total Analysis:</b>				

## Attachment B Sandoval County Month End Account Balances For the Period January 2021-January 2022

	General Fund	Tax Paymnet	General Obligation	Landfill Closure	Landfill Project Loan	Inmate	Debt Service	Project Fund	Economic Dev.	AMI Kids Income	Flex Spending
Month	Includes Sweeps	Includes Sweeps	Debt Service	Account	Account	Custodial Account	Account	Account	Account	Account	Account
Jan-21	47,349,986.15	10,857,957.22	1,340,816.02	964,498.67	139,045.39	128,743.19	1,144,489.17	10,397,387.25	1,124,835.76	253,511.33	19,367.39
Feb-21	43,537,509.50	2,679,970.28	1,379,247.48	964,757.63	139,082.72	122,293.03	1,271,980.74	10,369,833.07	1,125,137.77	253,576.01	21,027.42
Mar-21	41,582,599.63	3,354,618.78	1,409,659.07	965,044.41	139,124.06	13,014.36	1,371,620.55	10,189,405.18	1,125,472.23	253,648.15	26,581.71
Apr-21	36,953,104.48	8,964,217.42	1,462,836.28	965,322.02	139,164.08	139,660.06	713,702.71	10,056,109.79	125,795.99	253,357.50	27,798.94
May-21	50,430,786.22	41,610,979.33	1,623,631.29	865,647.48	139,205.45	132,885.77	345,380.51	9,892,283.59	1,126,130.64	253,430.18	30,269.31
Jun-21	58,320,195.65	2,411,359.48	2,447,764.43	857,606.18	139,245.50	857,606.18	1,265,422.12	9,725,762.29	1,121,980.08	253,500.16	26,367.52
Jul-21	56,471,327.99	1,993,995.81	955.80	857,861.11	139,286.89	131,619.45	1,393,231.49	9,696,594.00	1,787,475.16	253,572.59	19,090.10
Aug-21	54,793,020.34	1,826,707.46	12,417.40	858,116.12	139,328.29	135,755.40	1,520,816.79	9,712,626.68	1,788,006.50	253,644.90	21,872.99
Sep-21	57,990,880.54	1,757,759.43	21,301.88	1,030,691.88	139,368.37	133,632.56	1,648,396.96	9,628,680.82	1,788,520.86	253,714.94	18,566.73
Oct-21	39,356,193.23	1,963,330.94	3,530.85	1,030,998.26	139,409.80	139,328.30	1,671,456.60	9,449,750.79	1,388,999.16	16,715.62	17,916.10
Nov-21	41,891,151.47	24,537,047.51	38,958.90	1,031,294.85	139,449.90	131,105.65	1,699,242.37	9,436,761.55	1,389,398.73	16,717.51	17,947.10
Dec-21	44,739,245.76	58,981,812.17	610,260.97	1,031,601.41	139,491.35	128,923.72	1,198,754.66	9,087,719.85	1,389,811.74	16,719.56	18,151.91
Jan-22	54,362,662.87	3,002,588.98	1,700,013.50	1,031,908.06	139,532.82	134,943.93	1,326,286.37	9,005,598.93	1,390,224.87	16,721.90	22,990.17

\* Property Tax Collections are included in the Tax Payment Account Balances and do not represent a separate account. These collections are provided to demonstrate the cyclical nature of these revenues in relation to balances shown for the Tax Payment Account. All property tax collections are disbursed by the 20th day of the month following collection.

# Attachment C

## Tax Schedule Maintenance Report

As of March 31<sup>st</sup>

FINANCIAL REPORT OF THE COUNTY TREASURER  
TAX SCHEDULE MAINTENANCE REPORT  
AS OF MARCH 31, 2022

109th FY  
SANDOVAL COUNTY

TAX YEAR	TOTAL TAXES CHARGED TO TREASURER	TOTAL NET ADJUSTMENTS TO DATE	NET TAXES CHARGED TO TREASURER	TAXES COLLECTED THIS MONTH	TAXES COLLECTED TO DATE	TAXES UNCOLLECTED TO DATE	PERCENTAGE COLLECTED
2012	\$114,443,115.48	(\$88,020.44)	\$114,355,095.04	\$2,263.23	\$114,087,434.21	\$267,660.83	99.77%
2013	\$115,726,180.71	(\$98,673.94)	\$115,627,506.77	\$647.76	\$115,314,082.54	\$313,424.23	99.73%
2014	\$115,146,019.09	\$794,746.46	\$115,940,765.55	\$551.73	\$115,615,907.07	\$324,858.48	99.72%
2015	\$118,878,983.27	\$217,564.76	\$119,096,548.03	\$1,145.28	\$118,689,160.09	\$407,387.94	99.66%
2016	\$124,297,473.78	\$231,242.96	\$124,528,716.74	\$1,155.56	\$124,054,292.10	\$474,424.64	99.62%
2017	\$114,824,481.20	(\$263,687.43)	\$114,560,793.77	\$4,600.00	\$114,033,352.13	\$527,441.64	99.54%
2018	\$119,830,398.58	(\$4,003.88)	\$119,826,394.70	\$10,524.08	\$119,257,618.40	\$568,776.30	99.53%
2019	\$131,633,005.04 *	\$117,802.20	\$131,750,807.24	\$117,717.94	\$130,744,493.03	\$1,006,314.21	99.24%
2020	\$140,379,269.41	(\$5,517,701.80)	\$134,861,567.61	\$205,931.30	\$133,010,211.02	\$1,851,356.59	98.63%
Sub Total	\$1,095,158,926.56	-\$4,610,731.11	\$1,090,548,195.45	\$344,536.88	\$1,084,806,550.59	\$5,741,644.86	99.47%
2021	\$144,700,035.14	(\$370,008.78)	\$144,330,026.36	\$2,203,539.07	\$88,005,871.92	\$56,324,154.44	60.98%
TOTALS	\$1,239,858,961.70	-\$4,980,739.89	\$1,234,878,221.81	\$2,548,075.95	\$1,172,812,422.51	\$62,065,799.30	94.97%

\* Due to the passage of HB 407, the Assessor's Office was required to send a tax roll change after the October 1 deadline to reflect the new hospital bond. STO reflected the \$7,001,710.55 in "Total Taxes Charged to the Treasurer".